

### **RESEARCH PAPER**

# C<sub>4</sub> eudicots are not younger than C<sub>4</sub> monocots

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## Abstract

 $C_4$  photosynthesis is a plant adaptation to high levels of photorespiration. Physiological models predict that atmospheric CO<sub>2</sub> concentration selected for C<sub>4</sub> grasses only after it dropped below a critical threshold during the Oligocene (~30 Ma), a hypothesis supported by phylogenetic and molecular dating analyses. However the same models predict that CO<sub>2</sub> should have reached much lower levels before selecting for C<sub>4</sub> eudicots, making C<sub>4</sub> eudicots younger than C<sub>4</sub> grasses. In this study, different phylogenetic datasets were combined in order to conduct the first comparative analysis of the age of C<sub>4</sub> origins in eudicots. Our results suggested that all lineages of C<sub>4</sub> eudicots arose during the last 30 million years, with the earliest before 22 Ma in Chenopodiaceae and Aizoaceae, and the latest probably after 2 Ma in *Flaveria*. C<sub>4</sub> eudicots are thus not globally younger than C<sub>4</sub> monocots. All lineages of C<sub>4</sub> plants evolved in a similar low CO<sub>2</sub> atmosphere that predominated during the last 30 million years. Independent C<sub>4</sub> origins were probably driven by different combinations of specific factors, including local ecological characteristics such as habitat openness, aridity, and salinity, as well as the speciation and dispersal history of each clade. Neither the lower number of C<sub>4</sub> species nor the frequency of C<sub>3</sub>-C<sub>4</sub> intermediates in eudicots can be attributed to a more recent origin, but probably result from variation in diversification and evolutionary rates among the different groups that evolved the C<sub>4</sub> pathway.

Key words: C<sub>4</sub> photosynthesis, eudicots, evolution, molecular dating, multiple origins, phylogeny.

# Introduction

C<sub>4</sub> photosynthesis is a highly convergent trait that has evolved more than 60 times in at least 18 families of flowering plants (Sage et al., 2011a). It consists of anatomical and biochemical innovations that increase the internal CO<sub>2</sub> concentration around the carboxylating enzyme Rubisco, thereby suppressing oxygenation of RuBP and photorespiration. C<sub>4</sub> photosynthesis thus provides an advantage in all conditions where photorespiration levels are deleteriously high, especially in warm, dry, and/or saline habitats (Sage, 2004). However, the energetic requirements of the C<sub>4</sub> pathway can represent a net cost in conditions where photorespiratory rates are low, most notably at low temperature or in atmospheres of elevated CO<sub>2</sub>. One index that effectively describes the relationship between the efficiency of C<sub>3</sub> and C<sub>4</sub> photosynthesis and the environment is the maximum quantum yield of photosynthesis (QY)

measured at low light intensities (Ehleringer *et al.*, 1997). Maximum QY (hereafter referred to as simply QY) is a measure of the maximum light use efficiency of photosynthesis, calculated as the number of  $CO_2$  molecules fixed per absorbed photon. As an index of photorespiratory inhibition, QY is useful in C<sub>3</sub> and C<sub>4</sub> comparisons both at low light where variation in light use efficiency is directly proportional to carbon gain, and at high light, where QY is inversely proportional to the ratio of photorespiration to photosynthesis (Sage and Kubien, 2003). Due to the increase in photorespiration, QY in C<sub>3</sub> plants declines with decreasing CO<sub>2</sub> levels and increasing temperature. By contrast, C<sub>4</sub> QY is little affected by variation in CO<sub>2</sub> and temperature, but is below that of C<sub>3</sub> plants at high CO<sub>2</sub> and/or low temperature due to the two ATP equivalents

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needed for each turn of the  $C_4$  metabolic cycle (Ehleringer *et al.*, 1997).

Because of its simplicity and close relationship with the ratio of photorespiration to photosynthesis, QY has been widely used to compare  $C_3$  and  $C_4$  species across a range of environments, and to model conditions, both present and past, when the C<sub>4</sub> pathway would be advantageous over the C<sub>3</sub> pathway. Using a QY model, Ehleringer and co-workers (Ehleringer et al., 1991, 1997; Cerling et al., 1997) predicted that C<sub>4</sub> plants would have greater evolutionary fitness under the low  $CO_2$  levels of recent geological time than  $C_3$  species, leading to the hypothesis that low  $CO_2$  was a selection factor for the rise of the C<sub>4</sub> pathway. With improved estimates of paleo atmospheric CO2 and evidence of C4 plants occurring in the Mid-Miocene, the date proposed for the earliest C<sub>4</sub> plants has been placed in the late Oligocene period, when global climate underwent important changes and atmospheric CO<sub>2</sub> levels fell to near or below current levels (Sage, 2001, 2004; Pagani et al., 2005). This hypothesis has been empirically supported in C<sub>4</sub> monocots (grasses and sedges), where phylogenetic modelling indicates a marked increase in the probability of C<sub>4</sub> evolution after the Oligocene  $CO_2$  decline (Christin *et al.*, 2008; Besnard et al., 2009). It has not been tested in the numerous eudicot lineages with C<sub>4</sub> species, however. QY comparisons generally demonstrated lower values of light use efficiency in  $C_4$ eudicots than C<sub>4</sub> monocots (Ehleringer and Pearcy, 1983). This observation led to the hypothesis that  $C_4$  evolution in eudicots would have only occurred at lower atmospheric  $CO_2$  levels than in monocots, constraining  $C_4$  evolution in eudicots to the Pleistocene (Ehleringer et al., 1997). This prediction can now be evaluated using phylogenetic information accumulated in the past 15 years for eudicot lineages where C<sub>4</sub> photosynthesis appears.

Phylogenetic data have increased exponentially in recent years, leading to a better understanding of the relationships between  $C_4$  taxa and affiliated  $C_3$  taxa. The grasses (Poaceae) have received the lion's share of phylogenetic attention and the number and timing of C<sub>4</sub> origins in this group are now relatively well understood, with a minimum of 17 C<sub>4</sub> origins, starting around 30 million years ago (Ma) and continuing to more recent geological time (Giussani et al., 2001; Christin et al., 2008; Vicentini et al., 2008; Edwards et al., 2010; Roalson, 2011). This pattern, along with considerations of the ecological setting for C<sub>4</sub> evolution, has led to the current view that Oligocene  $CO_2$  decline met an essential environmental precondition for the evolution of C<sub>4</sub> photosynthesis, while individual C<sub>4</sub> origins were probably driven by additional local factors, such as warmth, seasonality, and habitat openness (Sage, 2001, 2004; Roalson, 2008; Osborne and Freckleton, 2009; Edwards and Smith, 2010). Besides grasses, five  $C_4$  origins in Cyperaceae (sedges) were estimated to have occurred between 20 and 4 Ma (Besnard et al., 2009; Roalson, 2011). The C<sub>4</sub> origins in sedges thus also occurred in a low CO<sub>2</sub> world, perhaps as a response to increasing disturbance and fire frequencies in the wetter parts of the warm biomes (Linder and Rudall, 2005). Together, sedges and grasses encompass about 80% of all C<sub>4</sub> species, but represent only a minority of C<sub>4</sub> origins, the majority of which (about 60%) occurred in eudicots (Sage *et al.*, 2011a). Some of these eudicot groups serve as model systems for the study of C<sub>4</sub> genetics and evolution, including members of the Cleomaceae, Amaranthaceae, and, especially, Flaveria in the Asteraceae (Kadereit et al., 2003; Svensson et al., 2003; Brown et al., 2005; McKown et al., 2005; McKown and Dengler, 2007). It has long been postulated that  $C_4$  eudicots were much younger than  $C_4$ monocots, based mainly on the physiological models described above, but also on the presence of  $C_3$ - $C_4$  intermediates and the lower number of C<sub>4</sub> species in eudicot groups (Ehleringer et al., 1997; Sage, 2004). Some well-resolved and relatively well-sampled phylogenies are now available for several groups of C<sub>4</sub> eudicots (McKown et al., 2005; Kapralov et al., 2006; Sage et al., 2007; Feodorova et al., 2010; Kadereit et al., 2010; Ocampo and Columbus, 2010; Christin et al., 2011). However, accurate time calibration of these phylogenies has been hampered by the lack of fossil records for the studied groups.

The first attempt to date  $C_4$  eudicots used a phylogeny based on *rbcL* for Amaranthaceae/Chenopodiaceae, which suggested that the first C<sub>4</sub> origins in this group could have occurred more than 14.5 Ma (Kadereit et al., 2003), challenging the hypothesis of a Pleistocene origin of C<sub>4</sub> eudicots. This potential early origin of C<sub>4</sub> Amaranthaceae/ Chenopodiaceae has been confirmed by more densely sampled phylogenetic analyses (Kadereit *et al.*, 2010; Kadereit and Freitag, 2011). Very recently, two more studies have used time estimates obtained for the major angiosperm lineages to calibrate phylogenies for Molluginaceae and Cleomaceae (Feodorova et al., 2010; Christin et al., 2011). Surprisingly, these C<sub>4</sub> groups with very few species (two, and less than five, respectively) were found to be up to 10 million years (My) old. These new lines of evidence demonstrate the need for a re-evaluation of the timing of C<sub>4</sub> evolution in eudicots and their relationship with past fluctuation of atmospheric  $CO_2$  levels.

The goal of the present study was to estimate the potential ages of the different eudicot  $C_4$  lineages described in the literature. First, a phylogenetic tree containing the major eudicot clades was inferred and dated and then these time estimates were used to calibrate a series of smaller and more detailed phylogenies inferred from fast-evolving markers. The resultant time-calibrated phylogenetic framework contains many of the postulated  $C_4$  origins in eudicots and is used to address variation in the probability of  $C_4$  evolution through geological time. This study also identified groups for which phylogenetic information is limited or completely absent, but are critical for understanding the timing, evolvability, and reversibility of transitions between  $C_3$  and  $C_4$  photosynthesis in angiosperms.

# Materials and methods

#### General methodology and eudicot phylogeny

The GenBank database was screened for genes available for different  $C_4$  lineages (according to Sage *et al.*, 2011a). Non-coding

genes, such as nuclear internal transcribed spacers (ITS) and intergenic spacers from the plastid genome, are available for numerous C<sub>4</sub> groups. Unfortunately, these fast-evolving markers cannot be unambiguously aligned among distantly related plant groups. Therefore, slower-evolving coding markers were selected that allowed the largest number of C<sub>4</sub> taxa to be incorporated. The two markers settled upon were genes from the plastid genome encoding *matK* and *rbcL*. They have been used in various attempts to reconstruct the angiosperm phylogeny (Soltis et al., 2000; Hilu et al., 2003) and are relatively well sampled for several groups that contain C<sub>3</sub>/C<sub>4</sub> transitions (Cuénoud et al., 2002; Kadereit et al., 2003; Sage et al., 2007; Christin et al., 2011). These two markers were retrieved for species spanning the main eudicot lineages, the purported sister group of eudicots (Ceratophyllum) and one monocot, which was used to root the tree (Acorus). Clades previously reported to contain C<sub>4</sub> taxa were densely sampled and, where possible, the closest C3 relatives of each C4 group was included in order to improve the estimates of divergence times. This sampling was completed by *de novo* sequencing of *matK* and *rbcL* for several Caryophyllales species in order to improve the resolution of the phylogeny and, in particular, the relationships between  $C_3$  and  $C_4$ taxa (see Supplementary Document S1 at JXB online). These markers were amplified and sequenced with the primers developed in Christin et al. (2011), following the procedure described therein.

Sequences were aligned with ClustalW (Thompson et al., 1994) and the alignment was then manually edited. A phylogenetic tree was inferred simultaneously from *rbcL* and *matK* through a Bayesian procedure implemented in MrBayes 3.2 (Ronquist and Huelsenbeck, 2003). The substitution model used for the analyses was a general time-reversible model with a gamma shape parameter and a proportion of invariant sites (GTR+G+I). Two analyses, each consisting of four parallel chains, were run for 7 000 000 generations after a burn-in period of 3 000 000, and a tree was sampled each 1000 generations. The consensus phylogeny resulting from the 14 000 sampled trees was used for molecular dating with a Bayesian method that accounts for changes in rates of evolution among branches, following the recommendations of Rutschmann (2006). Model parameters were estimated with baseml (Yang, 2007) for the two genes separately. Branch lengths and the variance-covariance matrix were then optimized using estbranches (Thorne et al., 1998). A Bayesian MCMC procedure implemented in multidivtime (Kishino et al., 2001; Thorne and Kishino, 2002) approximated the posterior distributions of substitution rates and divergence times, given a set of time constraints. The MCMC procedure was run for 1 000 000 generations after a burn-in of 100 000 generations, with a sampling frequency of 100 generations. The outgroup (Acorus americanus) was removed during the analysis. Calibration points, based on reported fossils, were set as described in Christin et al. (2011), with lower bounds on the stems of Buxales at 102.2 Ma, of Malpighiales at 91.2 Ma, of Fabales at 59.9 Ma, of Malvales at 69.7 Ma, of Myrtales at 88.2 Ma, of Ericales at 91.2 Ma, a lower bound on the divergence of Polycarpon from the higher Caryophyllaceae at 34 Ma, a lower bound on the stem of eudicots at 120 Ma and an upper bound on the crown of eudicots at 130 Ma.

This eudicot phylogeny gave relatively good estimates for several  $C_4$  origins, but many groups were poorly represented. For this reason, additional phylogenies were reconstructed with fastevolving markers for several groups containing  $C_4$  taxa. Since good fossils are not available for most groups at this taxonomic scale, time estimates for nodes of the eudicot phylogeny, together with their associated confidence interval, were used to calibrate these lower scale phylogenies. With this approach, the ages estimated for the different groups are not independent, increasing the probability of accurate estimates of the relative ages, even in the presence of misleading calibration points. For constrained nodes, the lower bound was set to the estimated divergence time minus the standard deviation and the upper bound to the estimated divergence time plus the standard deviation. All trees were inferred and calibrated with the method described above. Specific details for each group are detailed below.

To account for recent suggestions that angiosperms could be older than previously thought (Smith *et al.*, 2010), dating of the eudicot tree was repeated by removing the upper constraint on the crown of eudicots and setting the maximal age of the root (eudicots+*Ceratophyllum*) to 200 Ma. Divergence times estimated in this analysis were then used to calibrate lower taxonomic scale phylogenies under the hypothesis of an earlier origin of angiosperms.

#### Detailed analyses of selected clades

The phylogeny for *Flaveria* was reconstructed using the nuclear ITS and the plastid intergenic spacer *trnL-trnF*. Sequences for *Flaveria* were extracted from the dataset of McKown *et al.* (2005) and other Asteraceae were added to allow for more calibration points. Only one accession per *Flaveria* species was considered. *Scaevola aemula* (Goodeniaceae) was used as the outgroup (removed during the dating analysis). The inferred phylogeny was calibrated with the estimated divergence times between Cichorioideae (represented by *Lactuca* in the eudicot phylogeny) and Asteroideae (i.e. *Helianthus* and *Flaveria*) and between *Helianthus* and *Oyedaea*. The photosynthetic types were determined by McKown *et al.* (2005) and McKown and Dengler (2007).

A phylogeny for the Chenopodiaceae was inferred from nuclear ITS and the non-coding plastid marker *psbB-psbH*, from data originating from previous studies (Kapralov *et al.*, 2006; Akhani *et al.*, 2007; Wen *et al.*, 2010). The phylogeny was rooted with Chenopodioideae (*Atriplex* and *Chenopodium*, removed during the dating analysis), according to previous phylogenies (Kadereit *et al.*, 2003; Kapralov *et al.*, 2006). It was calibrated with the estimated divergence time between Salsoloideae (*Salsola, Camphorosma*, and *Bassia*) and Suaedoideae/Salicornioideae (*Bienertia, Allenrolfea*, and *Halocnemum*). Photosynthetic types were reported from the literature (Jacobs, 2001; Kadereit *et al.*, 2003, and references therein, 2010; Kapralov *et al.*, 2006; Akhani *et al.*, 2007).

A phylogenetic tree for  $C_4$  and related  $C_3$  Nyctaginaceae was inferred from four markers, the nuclear ITS, the plastid coding gene *ndhF*, and the plastid non-coding genes *rpl16* and *rps16*. These data come from a densely sampled phylogenetic dataset by Douglas and Manos (2007). The phylogenetic tree was rooted with *Colignonia scandens*, according to Douglas and Manos (2007). It was calibrated with the estimated divergence times between *Bougainvillea glabra* and the other species and between the *Mirabilis* genus and the group composed of *AllionialOkenia*. Photosynthetic types were deduced from carbon isotope ratio measurements (RF Sage, unpublished data).

A phylogeny was inferred using ITS for Sesuvioideae (data generated by Hassan *et al.*, 2005), other Aizoaceae, and Montiaceae (used as the outgroup, removed in the dating analysis). The resulting phylogeny was calibrated using the estimated divergence time between *Galenia+Delosperma+Trichodiadema* and Sesuvioideae and the estimated divergence time between *Galenia* and *Delosperma+Trichodiadema*. Photosynthetic types were reported from previous studies (Sankhla *et al.*, 1975; Kocacinar and Sage, 2003; RF Sage, unpublished data).

GenBank was screened for markers representing *Euphorbia* species previously identified as  $C_4$ . ITS was selected as it was available for a large number of  $C_4$  as well as  $C_3$  *Euphorbia*. *Euphorbia* species spread across the phylogeny were selected together with *Manihot esculenta* and *Jatropha curcas* (used as the outgroup, removed during the dating analysis). The resulting phylogeny was calibrated with the estimated divergence time between *Manihot esculenta* and the *Euphorbia* genus. Photosynthetic types were reported from the literature (Pearcy and Troughton, 1975; Webster *et al.*, 1975; Batanouny *et al.*, 1991; Sage *et al.*, 2011b).

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#### Modelling of photosynthetic transition probabilities

Two models of transition between  $C_3$  and  $C_4$  photosynthesis were optimized on the eudicot phylogeny inferred from *rbcL* and *matK*, with branch lengths estimated from molecular markers and incorporating the estimated divergence times. The null model allows different transition rates from  $C_3$  to  $C_4$  and from  $C_4$  to  $C_3$ , but these rates are constant through time. The alternative model allows for one change in the transition rates, independently optimizing transition probabilities between  $C_3$  and  $C_4$  before and after a given time threshold (Christin *et al.*, 2008). The two models are nested and can be compared through likelihood ratio tests. Species were coded as  $C_4$  or  $C_3$  (including  $C_3$ – $C_4$  intermediates) and all parameters were estimated from the data, using MLtree software (Christin *et al.*, 2008).

## Results

#### Eudicot phylogeny

The phylogeny inferred from *matK* and *rbcL* (see Supplementary Fig. S1A, B at *JXB* online) is congruent with the known relationships among angiosperms (APG III, 2009; Brockington *et al.*, 2009), and our age estimates for major clades are consistent with recent estimates (see Supplementary Fig. 1A at *JXB* online; Bell *et al.*, 2010; Moore *et al.*, 2010). However, to account for recent suggestions that angiosperms could be older than previously thought (Smith *et al.*, 2010), the dating analysis was repeated with relaxed constraints on the maximal age of the eudicots and the root. Removing these constraints affected the estimated ages of the major clades, but did not significantly change those for nodes closer to the tips, which included all potential  $C_4$  origins (see Supplementary Table S1 at *JXB* online).

Our sampling of rbcL and matK incorporated C<sub>4</sub> taxa forming a total of 31 C<sub>4</sub>-like or C<sub>4</sub> groups (Table 1). Of the 36 C<sub>4</sub> lineages of eudicots hypothesized by Sage *et al.* (2011a), eight are missing because data in GenBank were insufficient (*Blepharis, Anticharis, Heliotropium, Pectis, Chrysanthellum/Isostigma, Polycarpaea,* and two C<sub>4</sub> groups of *Cleome*). The two C<sub>4</sub> *Atriplex,* for which only *rbcL* was available, were not monophyletic, congruent with previous analysis of this marker (Kadereit *et al.,* 2003). However, more recent analyses with other markers and a denser sampling have shown the monophyly of the C<sub>4</sub> *Atriplex* (Kadereit *et al.,* 2010). All other relationships are perfectly congruent with previous phylogenies for C<sub>4</sub> eudicots (Kadereit *et al.,* 2003; Kapralov *et al.,* 2006; Akhani *et al.,* 2007; Sage *et al.,* 2007; Christin *et al.,* 2011).

For each  $C_4$  group,  $C_4$  photosynthesis could have originated at any time between the divergence of the  $C_4$ group and its  $C_3$  sister group (stem group node), and the earliest evidence of diversification within the  $C_4$  group (crown group node; Table 1). Thus, the limited species sampling in our *rbcL/matK* tree produces large uncertainties about the timing of some  $C_4$  origins. In addition, many groups are only represented by a single species, which makes it impossible to define a minimum age bracket with a crown group. The upper estimate for the  $C_4$  origin in *Portulaca* is at 28.8 Ma (with a minimum age for this origin at 9.7 Ma; Table 1). Dates for the Portulacineae suborder (which contains *Portulaca*) are older than in a previous study that calibrated the phylogeny with the appearance of the Hawaiian islands (Ocampo and Columbus, 2010).  $C_4$  photosynthesis could be 63.5 My old in the *Tribulus* group (with a minimum age of 13.8 Ma), but the only markers available for these species were *rbcL* or a small fragment of *matK*, decreasing the accuracy of the age estimation and leading to very wide confidence intervals (Table 1). All other C<sub>4</sub> origins are estimated to have occurred during the last 30 My (Table 1).

#### Detailed analyses of selected clades

According to our time estimates, the appearance of  $C_3$ - $C_4$  photosynthesis in *Flaveria* occurred either once between 3.6 and 3.1 Ma (with a reversal in *F. robusta*) or twice, between 2.8 Ma and the present in *F. sonorensis*, and between 3.1 and 2.9 in the common ancestor of clades A and B (see Supplementary Fig. S1C at *JXB* online). This  $C_3$ - $C_4$  type was co-opted twice to evolve a  $C_4$ -like trait, between 0.4 Ma and the present in *F. brownii* and between 1.8 and 1.3 Ma in clade A (Fig. 1). The number of  $C_4$  origins from the  $C_4$ -like type are difficult to infer, since different scenarios are equally probable; in all cases, they occurred in the last 2 My (Table 1).

The reconstruction of  $C_3/C_4$  transitions is highly ambiguous in the inferred Chenopodiaceae phylogeny and the most parsimonious scenarios would imply C<sub>4</sub> to C<sub>3</sub> reversals (see Supplementary Fig. S1D at JXB online). Such reversions have been hypothesized (Pyankov et al., 2001), but other studies concluded that the family contains mainly C<sub>4</sub> origins based on anatomical variation (Kadereit et al., 2003, 2010; Kadereit and Freitag, 2011). According to our estimate, the evolution of single-celled C<sub>4</sub> photosynthesis (Edwards et al., 2004) occurred between 20.8 and 2.6 Ma in Bienertia and between 7.7 Ma and the present in Suaeda aralocaspica (Table 1). If two transitions from  $C_3$  to  $C_4$  are assumed in *Suaeda*, they would have occurred between 9.9 and 7.0 Ma and between 5.6 and 4.5 Ma, respectively. In Salsoloideae, a single origin followed by reversals would be estimated between 28.3 and 26.2 Ma. In the case of multiple  $C_4$  origins in Salsoloideae, these would be spread across the last 25 My (Table 1). The oldest lower estimate for a  $C_4$ origin in the eudicots is in the Caroxyloneae at 22.1 Ma. Dates for C<sub>4</sub> Chenopodiaceae are slightly younger than previous estimates based on phylogenetic trees encompassing only Amaranthaceae/Chenopodiaceae (Kadereit et al., 2003, 2010; Kadereit and Freitag, 2011).

In Nyctaginaceae,  $C_4$  taxa form two groups (see Supplementary Fig. S1E at *JXB* online). If they correspond to two  $C_4$  origins, the *Allionia* lineage evolved the  $C_4$  pathway between 6.1 Ma and the present, while  $C_4$  appeared between 4.7 and 2.2 Ma in the *BoerhavialOkenia* group (Table 1).

The evolutionary history of photosynthetic types in Sesuvioideae is difficult to reconstruct (see Supplementary Fig. S1F at *JXB* online). The observed distribution of  $C_4$ taxa is compatible with a single  $C_4$  origin, which would **Table 1.** Estimated ages (in millions of years, with standard deviation in brackets) of stem and crown nodes for the different photosynthetic transitions, assuming no reversal

Clade <sup>a</sup>	Transition	Stem age	Crown age	Remarks
Portulacaceae				
Portulaca	C₃ to C₄	28.8 (5.0)	9.7 (3.3)	For details, see Ocampo et al. (2010)
Molluginaceae	<u> </u>			, i (, ,
Mollugo cerviana/	C <sub>3</sub> to C <sub>3</sub> –C <sub>4</sub>	17.1 (3.5)	7.0 (2.0)	For details, see Christin et al. (2011)
Hypetertelis spergulacea				
Mollugo cerviana group	$C_3$ – $C_4$ to $C_4$	7.0 (2.0)	0.5 (0.4)	For details, see Christin et al. (2011)
<i>Mollugo fragilis</i> group	$C_3$ – $C_4$ to $C_4$	5.3 (1.6)	1.4 (0.6)	For details, see Christin et al. (2011)
Gisekiaceae				
Gisekia	C <sub>3</sub> to C <sub>4</sub>	4.8 (3.6)	0	
Amaranthaceae				
Gomphreneae	C <sub>3</sub> to C <sub>4</sub>	8.6 (2.5)	7.0 (2.2)	
Tidestromia	C <sub>3</sub> to C <sub>4</sub>	13.0 (3.3)	3.2 (1.7)	
Alternanthera	C <sub>3</sub> to C <sub>3</sub> –C <sub>4</sub>	10.3 (2.9)	7.5 (2.4)	
Alternanthera	$C_3$ – $C_4$ to $C_4$	7.5 (2.4)	5.9 (2.1)	
Aerva	C <sub>3</sub> to C <sub>4</sub>	14.5 (3.7)	2.5 (1.5)	
Amaranthus	C <sub>3</sub> to C <sub>4</sub>	15.4 (4.1)	1.8 (1.2)	
Chenopodiaceae				
Atriplex	$C_3$ to $C_4$	12.9 (2.4)	4.7 (2.3)	Recent evidence suggests one $C_4$ origin in <i>Atriplex</i> 14.1-10.9 Mya ( Kadereit <i>et al.</i> , 2010)
Suaeda sect. Salsina	C₃ to C₄	9.9 (3.1)	7.0 (2.4)	
Suaeda sect. Schoberia	C <sub>3</sub> to C₄	5.6 (2.1)	4.5 (1.8)	
Bienertia	C <sub>3</sub> to single-	20.8 (3.9)	2.6 (2.0)	
	celled C <sub>4</sub>	, , , , , , , , , , , , , , , , , , ,	<b>x</b>	
Suaeda aralocaspica	C <sub>3</sub> to single-	7.7 (3.2)	0	
,	celled C <sub>4</sub>			
Halosarcia indica	$C_3$ to $C_4$	6.5 (3.9)	0	
Camphorosmeae	$C_3$ to $C_4$	16.7 (4.6)	13.1 (4.1)	Recent evidence suggests two C <sub>4</sub> origins, 21.3-8.3 and 13.8-9.8 Mya (Kadereit and Freitag, 2011)
Caroxyloneae	C <sub>3</sub> to C <sub>4</sub>	24.6 (3.4)	22.1 (3.6)	
<i>Salsola kali</i> group	C <sub>3</sub> to C <sub>4</sub>	22.9 (3.5)	17.9 (4.0)	
Halothamnus	C <sub>3</sub> to C <sub>4</sub>	21.2 (3.5)	7.2 (3.3)	
Haloxylon/Anabis	C <sub>3</sub> to C <sub>4</sub>	13.7 (2.9)	12.7 (2.8)	
Nyctaginaceae				
Boerhavia	C <sub>3</sub> to C <sub>4</sub>	4.7 (0.9)	2.2 (0.5)	
Allionia	C <sub>3</sub> to C <sub>4</sub>	6.1 (1.0)	0	
Aizoaceae				
Trianthema	C <sub>3</sub> to C <sub>4</sub>	22.1 (4.9)	20.2 (4.7)	Postulating all Trianthema are C4
Zaleya	C <sub>3</sub> to C <sub>4</sub>	20.1 (4.8)	4.2 (3.4)	Postulating all Zaleya are C <sub>4</sub>
Sesuvium	C <sub>3</sub> to C <sub>4</sub>	4.8 (3.3)	0	
Cypselea	C <sub>3</sub> to C <sub>4</sub>	11.3 (4.1)	0	
Polygonaceae				
Calligonum Asteraceae	$C_3$ to $C_4$	19.9 (5.2)	1.2 (1.1)	
Flaveria	C <sub>3</sub> to C <sub>3</sub> –C <sub>4</sub>	3.1 (0.9)	2.9 (0.8)	
Flaveria sonorensis	C <sub>3</sub> to C <sub>3</sub> –C <sub>4</sub>	2.8 (0.8)	0	
Flaveria brownii	C <sub>3</sub> –C <sub>4</sub> to C <sub>4</sub> -like	0.4 (0.2)	0	
Flaveria clade A1	C <sub>3</sub> –C <sub>4</sub> to C <sub>4</sub> -like	1.8 (0.6)	1.3 (0.5)	
F. campestris	C <sub>4</sub> -like to C <sub>4</sub>	0.5 (0.3)	0	
F. trinervia group	C <sub>4</sub> -like to C <sub>4</sub>	1.0 (0.4)	0.2 (0.2)	
F. bidentis	C <sub>4</sub> -like to C <sub>4</sub>	0.6 (0.3)	0	
F. kochiana	C <sub>4</sub> -like to C <sub>4</sub>	0.3 (0.2)	0	
Cleomaceae				
Cleome gynandra	$C_3$ to $C_4$	17.7 (4.1)	0	Recent evidence suggests five $C_4$ origins in <i>Cleome</i> in the last 10 My (Feodorous <i>et al.</i> 2010)

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Table 1. Continued

Clade <sup>a</sup>	Transition	Stem age	Crown age	Remarks
Zygophyllaceae				
Zygophyllum	C <sub>3</sub> to C <sub>4</sub>	8.6 (5.3)	0	
Tribulus	C <sub>3</sub> to C <sub>4</sub>	63.5 (7.6)	13.8 (7.2)	
Euphorbiaceae				
Chamaesyce	C <sub>3</sub> to C <sub>4</sub>	10.4 (2.7)	7.4 (2.2)	

<sup>a</sup> Clade names follow Sage et al. (2011a).



**Fig. 1.** Temporal representation of the gradual  $C_3$  to  $C_4$  transition in *Flaveria*. According to McKown and Dengler (2007), the ancestor of the *Flaveria* group evolved increased vein density that represent a  $C_4$  precondition (light grey). This was co-opted twice to evolve  $C_3$ - $C_4$  photosynthesis (grey), which in turn was co-opted twice to evolve a  $C_4$ -like trait (dark grey). The  $C_4$ -like ancestors, in turn, gave rise to multiple  $C_4$  descendants (black). Note that this scenario assumes strictly directional  $C_3$  to  $C_4$  transitions but reversals between the different states cannot be excluded. For each group, triangles or thin bars represent the interval between the stem and crown nodes (interval in which the trait could have evolved).

have occurred between 29.5 and 22.1 Ma, followed by two reversals to a  $C_3$  state. Alternatively, in the case of multiple  $C_4$  origins, the *Trianthema* genus would have acquired its  $C_4$ trait between 22.1 and 20.2 Ma and the other genera during the last 20 My (Table 1). In either scenario,  $C_4$  photosynthesis in Sesuvioideae is at least 20 My old.

All the *Euphorbia* species reported in the literature as  $C_4$  and for which genetic data were available in GenBank form a single clade in the phylogeny (see Supplementary Fig. S1G at *JXB* online), sister to a group that contains  $C_3$  and  $C_3$ - $C_4$  taxa (Sage *et al.*, 2011*b*). According to our estimates,  $C_4$  photosynthesis originated between 10.4 and 7.4 Ma in this group (Table 1).

#### Modelling of photosynthetic transition rates

The model allowing variation of transition rates through time was marginally better than the null model (log-likelihood=-86.65;  $\chi^2$ =7.49; df=3; *P* value=0.058). The significance of this alternative model should be re-evaluated

when more data are available, but the present result, with limited taxon sampling, suggests that transition probabilities were not constant through time. The optimal threshold for the change of transition probabilities was at 28.8 Ma (Fig. 2), essentially identical to what was estimated for grasses (27.6 Ma; Christin *et al.*, 2008). According to this model, the probability of C<sub>4</sub> evolution was almost zero before 28.8 Ma, but strongly increased after this threshold. After 28.8 Ma, the probability of C<sub>4</sub> to C<sub>3</sub> reversal was positive in eudicots while it was null in grasses (Christin *et al.*, 2008).

# Discussion

#### Timing of $C_4$ origins in the eudicots

C<sub>4</sub> eudicots have been proposed to be of recent origin compared to C<sub>4</sub> monocots (grasses and sedges), based on arguments such as a low number of C<sub>4</sub> species and the existence of numerous C<sub>3</sub>-C<sub>4</sub> intermediates (Ehleringer et al., 1997; Kellogg, 1999; Sage, 2004). A combination of physiological models and estimations of past climatic conditions even led to suggestions of a Pleistocene origin of C<sub>4</sub> eudicots (Ehleringer et al., 1997). While our phylogenetic sampling was limited, which hampered an accurate estimate of the timing of C<sub>4</sub> origins in several eudicot lineages, narrow confidence intervals were still obtained for others. Our analysis provided little evidence for Pleistocene origins of  $C_4$  photosynthesis in the eudicots. The only  $C_4$  origin for which the maximal bound was inferred in the Pleistocene is Flaveria (Table 1). Several origins, within Portulaca, Euphorbia, and most C<sub>4</sub> groups of Aizoaceae, Amaranthaceae, and Chenopodiaceae, are estimated to have occurred in the Miocene or even the late Oligocene, supporting previous estimates (Kadereit et al., 2003, 2010; Ocampo and Columbus, 2010). Excluding the Chloridoideae subfamily of grasses (first estimated C<sub>4</sub> origin 32-25 Ma; Christin et al., 2008), C<sub>4</sub> origins in monocots are also spread throughout the last 25 My and largely overlap with C<sub>4</sub> origins in eudicots (Fig. 3).  $C_4$  eudicots should thus no longer be considered young compared to monocots.

The contemporaneous nature of  $C_4$  monocots and eudicots emphasizes that neither the number of species nor the presence of  $C_3$ - $C_4$  intermediates accurately predicts the age of the different  $C_4$  groups. A recent study suggests that  $C_3$ - $C_4$  intermediacy has been evolutionarily stable for more



**Fig. 2.** Comparison of transition models for eudicots and grasses. The likelihood of the model allowing different rates of  $C_3/C_4$  transitions after a time threshold is presented for all possible thresholds between 50 and 0 million years ago (Ma), for (A) eudicots and (B) grasses. The graph for grasses was redrawn from Christin *et al.* 2008, and reprinted by kind permission of Elsevier Ltd © 2008. The vertical grey bar shows the overlap between the optima for grasses and eudicots.

than 20 My in some Molluginaceae (Christin et al., 2011) and, according to our present results, the  $C_3-C_4$  type evolved at least 7.7 Ma in Alternanthera (Table 1). With regard to the species richness of various C4 clades, the Trianthema genus contains fewer than 20 species, but could have appeared more than 20 Ma. Conversely, Cyperus and affiliated taxa in sedges acquired the C<sub>4</sub> pathway at less than 11 Ma but encompass more than 550 C<sub>4</sub> species (Bruhl and Wilson, 2007; Besnard et al., 2009). Thus, there appears to be no relationship between the age of a C<sub>4</sub> clade and the number of species it contains. The larger number of C<sub>4</sub> species in monocots may instead be the consequence of grass and sedge families being prone to high diversification rates, since both lineages also contain highly diversified and widespread groups of  $C_3$  species (Bruhl and Wilson, 2007; Edwards and Smith, 2010).

#### Ecological drivers of C<sub>4</sub> photosynthesis in eudicots

Past variations of atmospheric CO<sub>2</sub> levels have long been viewed as instrumental in driving the evolution of C<sub>4</sub> photosynthesis (Ehleringer *et al.*, 1991, 1997; Sage, 2004). Our results provide further evidence in support of this hypothesis; however, the proposal that C<sub>4</sub> eudicots evolved in the Pleistocene, only after CO<sub>2</sub> fell to lower levels than promoted C<sub>4</sub> evolution in the grasses, is not supported by our data. The atmospheric CO<sub>2</sub> concentration is estimated to have drastically decreased around 30 Ma to below current levels (Pagani *et al.*, 2005). Further CO<sub>2</sub> declines during the last 10 My are difficult to reconstruct with certainty (Edwards *et al.*, 2010), although recent evidence



**Fig. 3.** Comparison of the ages of  $C_4$  monocots and  $C_4$  eudicots. The estimated age is indicated for each C<sub>4</sub> origin where the interval between the stem and crown nodes is smaller than 5 My, and for the putative oldest C4 origin in the subfamily Chloridoideae of grasses. For each group, thick bars represent the interval between stem and crown nodes, and thin bars the confidence interval. Monocots are in grey and eudicots in black. For Flaveria, only one of the transitions to the C<sub>4</sub>-like state is represented. Ages of monocots are based on Christin et al. (2008) and Besnard et al. (2009). Names on the right are numbered for eudicots, sedges, and grasses; e1, Gisekia; e2, Sesuvium sesuvioides; e3, C4 and C<sub>4</sub>-like Flaveria; e4, Boerhavia/Okenia; e5, Suaeda sect. Schoberia; e6, C<sub>4</sub> Alternanthera; e7, Gomphreneae; e8, Suaeda sect. Salsina; e9, Chamaesyce; e10, Haloxylon/Anabasis; e11, Camphorosmeae; e12, Kali; e13, Trianthema; e14 Caroxyloneae; s1, Eleocharis vivipara; s2, C4 Rhynchospora; s3, C4 Cypereae; g1, Neurachne munroi; g2, Panicum prionitis group; g3, Eriachne; g4, Mesosetum clade; g5, main C<sub>4</sub> Paniceae; g6, Andropogoneae; g7, Chloridoideae.

suggests that atmospheric CO<sub>2</sub> fell below 350 ppm between 15 and 8 Ma and reached its lowest levels during glacial episodes in the Pleistocene (Tripati *et al.*, 2009). Several C<sub>4</sub> eudicot lineages evolved more than 20 Ma (Table 1), and our modelling of C<sub>3</sub>/C<sub>4</sub> transitions suggested that the probability of C<sub>4</sub> evolution increased at the same time in monocots and eudicots, around 28 Ma (Fig. 3). These time estimates are consistent with a global effect of the decline in atmospheric CO<sub>2</sub> during the Oligocene, and could explain the widespread C<sub>4</sub> origins in many different geographic regions beginning around 30 Ma (Sage *et al.*, 2011a).

The similarity in the timing of  $C_4$  origins in eudicots and monocots is inconsistent with the physiologically-based predictions on the estimated lower QY of eudicots. A number of possibilities could explain this discrepancy. First, most  $C_4$  species occur in high light environments where QY is not directly limiting. At moderate to high light levels, photoprotective processes such as zeaxanthin quenching of excess light energy become engaged, reducing QY below the maximum values used in interspecies comparisons (Sage and Kubien, 2003). Hence, QY differences between  $C_4$ eudicots and monocots would not be directly related to carbon gain and fitness. Second, past CO<sub>2</sub> levels cannot be reconstructed with certainty when lower than 500 ppm (Edwards et al., 2010), and post-Oligocene levels could have been below the threshold proposed for both the evolution of C<sub>4</sub> monocot and eudicots, based on QY models (Ehleringer et al., 1997). Third, QY differences between C<sub>4</sub> monocots and eudicots are small, and are not consistent between all groups (Skillman, 2008). While QY of C<sub>4</sub> Amaranthaceae/ Chenopodiaceae and *Flaveria* are clearly lower than those of  $C_4$  grasses analysed by the same authors, some  $C_4$ *Euphorbia* had higher QY than many C<sub>4</sub> grasses (Ehleringer and Bjorkman, 1977; Ehleringer and Pearcy 1983; Monson et al., 1986). In this light, QY differences among taxonomic groups could have been of limited importance for C<sub>4</sub> evolution. Rather, ecological factors such as heat, aridity, and salinity might have been key determinants of C<sub>4</sub> evolvability once the low CO<sub>2</sub> precondition was met.

#### Length of the transition from $C_3$ to $C_4$

It has been proposed that the multiple transformations in anatomy and biochemistry required for C<sub>4</sub> photosynthesis have been acquired gradually (Griffiths, 1989; Sage, 2004). The time required for the whole transition from a  $C_3$ ancestor to completely C4 descendants is difficult to estimate, since the precise moment at which the different  $C_4$ characteristics appeared cannot be easily mapped onto a phylogenetic tree (Christin *et al.*, 2010). One approach is to consider the time that separates the first appearance of  $C_4$  characters from the first fully  $C_4$  node of the same lineage. In Flaveria, the first C3-C4 ancestor emerged between 3.1 and 2.9 Ma, but anatomical preconditions appeared earlier, between 3.6 and 3.1 Ma. The first C<sub>4</sub> plants of *Flaveria* (if the possibility of reversals is excluded) evolved between 1 and 0.2 Ma (Table 1). This indicates that the transition from a C<sub>3</sub> ancestor to C<sub>4</sub> plants took at least 2 My in this clade (Fig. 1). In Molluginaceae, enlarged bundle-sheath cells evolved more than 20 Ma, probably in a  $C_3$  context, and were co-opted to evolve a  $C_4$  trait that was optimized in the last 1 My (Christin et al., 2011). This suggests that the complete transition from the appearance of C<sub>4</sub> preconditions in a C<sub>3</sub> context to completely developed C<sub>4</sub> plants took at least 15 My (Fig. 4). The presence of anatomical C<sub>4</sub> preconditions in taxa related to C<sub>4</sub> lineages has also been suggested for Heliotropium and Cleome (Marshall et al., 2007; Vogan et al., 2007). Unfortunately, phylogenies are not available for Heliotropium and the taxonomic distribution of these preconditions is uncertain for Cleome (Feodorova et al., 2010).

# Conclusions

By adopting a multi-faceted phylogenetic approach, it has been shown that the numerous  $C_4$  origins in eudicots have been spread across the last 30 My, and were contemporaneous with those in monocots. So far, no conclusive evidence of pre-Oligocene  $C_4$  plants have been found by molecular



**Fig. 4.** Temporal representation of the gradual  $C_3$  to  $C_4$  transition in *Mollugo*. This diagram is drawn based on the conclusions of Christin *et al.* (2011). The ancestor of the group evolved enlarged bundle sheath cells that represent a  $C_4$  precondition (light grey) more than 20 Ma. This was co-opted to evolve  $C_3$ – $C_4$  photosynthesis (grey), which served twice to evolve a  $C_4$  trait (black). For each group, triangles or thin bars represent the interval between the stem and crown nodes (interval in which the trait could have evolved).

dating or analysis of fossilized organic remains (Edwards et al., 2010, Urban et al., 2010). The period extending from the Oligocene to the present has thus seen an exceptional burst of C<sub>4</sub> origins in numerous distantly related groups of flowering plants (Sage et al., 2011a), suggesting the action of global environmental triggers. The decline of atmospheric  $CO_2$  probably increased the probability of  $C_4$ evolution by establishing photorespiration that inhibited C<sub>3</sub> photosynthesis on the one hand, while on the other creating an internal pool of photorespired CO<sub>2</sub> that could serve as resource for driving evolutionary innovation (Hylton et al., 1988; Sage, 2004). Low CO<sub>2</sub> alone was probably insufficient, as shown by the relative lack of C<sub>4</sub> species in habitats such as moist, shaded forests. Other environmental changes, notably increased seasonality, fire, and aridification possibly enhanced the probability of C4 evolution by opening up landscapes, reducing competition, and restricting stomatal aperture. Together with anatomical and genetic factors that enhanced C<sub>4</sub> evolvability in some clades, these environmental factors might have been instrumental in driving the repeated origins of C<sub>4</sub> photosynthesis in a variety of angiosperms, and profoundly changing the plant communities of many biomes across the planet.

## Supplementary data

Supplementary data can be found at JXB online

Supplementary Document S1. List of sequences used for phylogenetic reconstructions.

Supplementary Fig. S1. Calibrated phylogenetic trees.

**Supplementary Table S1.** Comparison of estimates of stem and crown ages (in millions of years, with standard deviation in brackets) with those obtained with less calibration constraints.

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